United States Court of Appeals

FOR THE DISTRICT OF COLUMBIA CIRCUIT

No. 15-1345

September Term, 2017

FILED: AUGUST 15, 2018

RAYMOND J. LUCIA COMPANIES, INC. AND RAYMOND J. LUCIA, PETITIONERS

v.

SECURITIES AND EXCHANGE COMMISSION,
RESPONDENT

On Remand from the Supreme Court of the United States

Before: Garland*, *Chief Judge*; Henderson, Rogers, Tatel, Griffith, Kavanaugh*, Srinivasan, Millett, Pillard, Wilkins, and Katsas*, *Circuit Judges*

JUDGMENT

This cause came on to be heard on remand from the Supreme Court of the United States, and on the motion of petitioners to grant the petition for review, and the response in support thereof. Upon consideration of the foregoing, it is

ORDERED, on the court's own motion, that the judgment filed June 26, 2017, be vacated. It is

FURTHER ORDERED that the motion be granted. It is

FURTHER ORDERED and ADJUDGED that the petition for review be granted, the Commission's decision and order be set aside, and this case be remanded to the agency for a new hearing either before another Administrative Law Judge or before the Commission, in accordance with <u>Lucia v. SEC</u>, 138 S. Ct. 2044 (2018).

The Clerk is directed to issue the mandate forthwith.

FOR THE COURT:

Mark J. Langer, Clerk

BY: /s/

Michael C. McGrail Deputy Clerk

^{*} Chief Judge Garland and Circuit Judges Kavanaugh and Katsas did not participate in this matter.